SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

						npanj / lot 01 20 10						
1. Name and Address of Reporting Person [*] <u>PETTINGILL RICHARD</u>				uer Name and Tick CURAY INC	•	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
							X	Director	10% 0	Dwner		
(Last) 1310 CHESAPI	(First) EAKE TERRA	(Middle)		e of Earliest Transa 3/2020	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)		
					f Original Filed	l (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)							Line)					
SUNNYVALE	CA	94089						Form filed by On	e Reporting Per	son		
								Form filed by Mo Person	re than One Re	porting		
(City)	(State)	(Zip)										
	7	Table I - Nor	n-Derivative S	ecurities Acq	uired, Dis	posed of, or Benef	icially	Owned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

	(Month/Day/rear)	(Month/Day/Year)	8)		5)			Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/23/2020		F		19,481 ⁽¹⁾	D	\$4.4	141,504	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(-3),														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were forfeited due to a cash settlement election made by the director in the grant agreement.

By: Brandy Green For:	
<u>Richard Pettingill</u>	

11/24/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.